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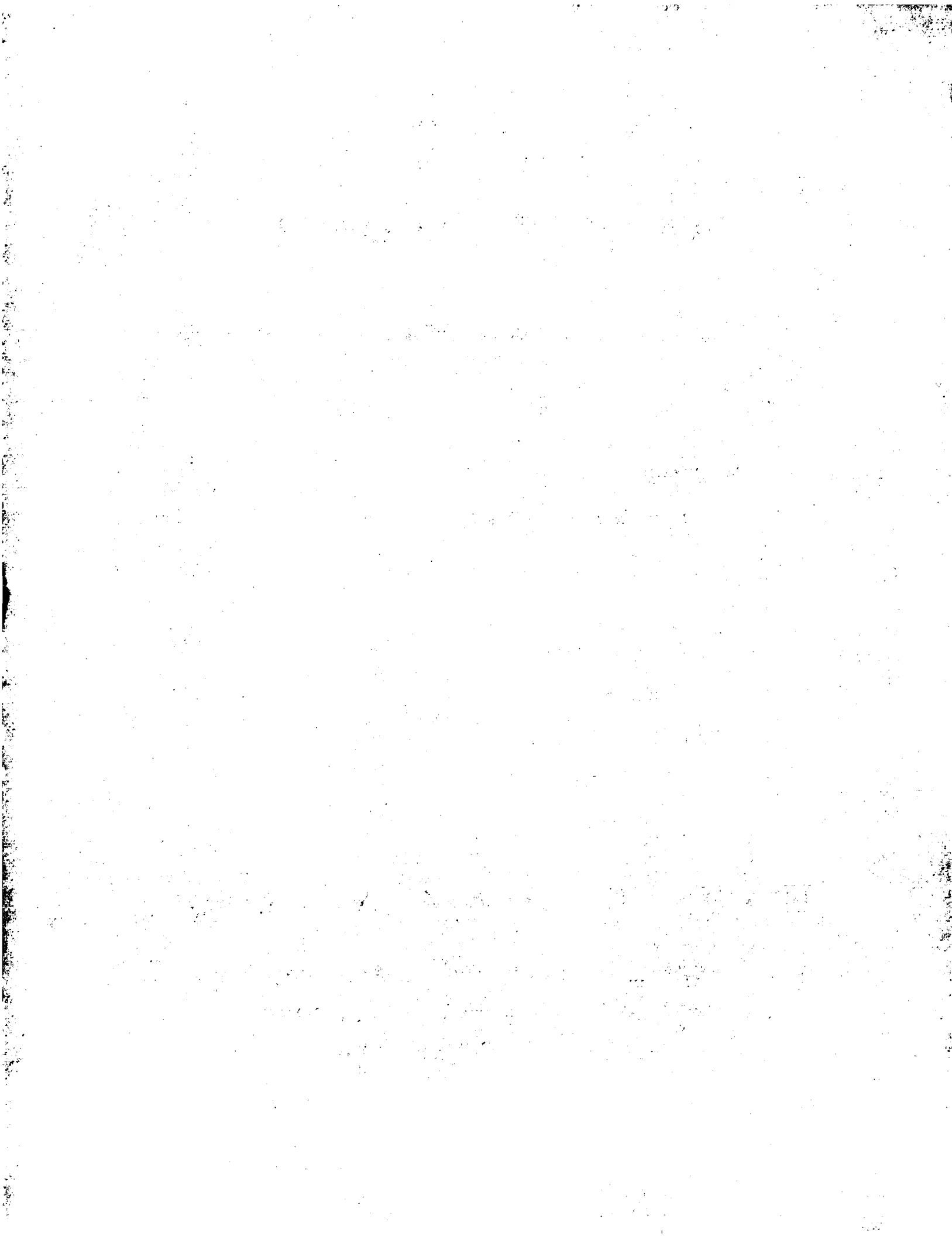
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Bescheinigung**Certificate****Attestation**

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

99101359.0

PRIORITY DOCUMENT

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**Blatt 2 der Bescheinigung
Sheet 2 of the certificate
Page 2 de l'attestation**

Anmeldung Nr.:
Application no.: **99101359.0**

Anmeldetag:
Date of filing: **26/01/99**

Anmelder:
Applicant(s).
Demandeur(s)

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Bezeichnung der Erfindung:
Title of the invention:
Titre de l'invention:
Foamed thermoplastic polyurethanes

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat:
State:
Pays:

Tag:
Date:
Date:

Aktenzeichen:
File no.
Numéro de dépôt:

Internationale Patentklassifikation
International Patent classification
Classification internationale des brevets

/

Am Anmeldetag benannte Vertragstaaten
Contracting states designated at date of filing: AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE
Etats contractants désignés lors du dépôt

Bemerkungen
Remarks
Remarques

The application was transferred from the original applicant :
Imperial Chemical Industries PLC
to the above-mentioned applicant on 09.08.1999

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*Field of the invention*EPO - Munich
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26. Jan. 1999

The present invention is concerned with a process for the preparation of foamed thermoplastic polyurethanes, novel foamed thermoplastic polyurethanes and reaction systems for preparing foamed thermoplastic polyurethanes.

Background of the invention

Thermoplastic polyurethanes, herein after referred to as TPUs, are well-known thermoplastic elastomers. In particular, they exhibit very high tensile and tear strength, high flexibility at low temperatures, extremely good abrasion and scratch resistance. They also have a high stability against oil, fats and many solvents, as well as stability against UV radiation and are being employed in a number of end use applications such as the automotive and the footwear industry.

As a result of the increased demand for lighter materials, a low density TPU needs to be developed which, in turn, represents a big technical challenge to provide, at minimum, equal physical properties to conventional low density PU.

It is already known to produce soles and other parts of polyurethane by a polyaddition reaction of liquid reactants resulting in an elastic solid moulded body. Up till now the reactants used were polyisocyanates and polyesters or polyethers containing OH-groups. Foaming was effected by adding a liquid of low boiling point or by means of CO₂, thereby obtaining a foam at least partially comprising open cells.

Reducing the weight of the materials by foaming the TPU has not given satisfactory results up to now. Attempts to foam TPU using well-known blowing agents as azodicarbonamides (exothermic) or sodiumhydrocarbonate based (endothermic) products were not successful for mouldings with reduced densities below 800 kg/m³.

With endothermic blowing agents, a good surface finish can be obtained but the lowest density achievable is about 800 kg/m³. Also, the processing is not very consistent and results in long demoulding times. Very little or no foaming is obtained at the mould

surface due to a relatively low mould temperature, resulting in a compact, rather thick skin and a coarse cell core.

By using exothermic blowing agents, a lower density foam (down to 750 kg/m³) with very fine cell structure can be achieved but the surface finish is not acceptable for most applications and demould time is even longer.

From the above it is clear that there is a continuous demand for low density TPUs having improved skin quality which can be produced with reduced demould times.

It has now been surprisingly found that foaming TPUs in the presence of thermally expandable microspheres, allows to meet the above objectives. Demould times are significantly reduced and the process can be carried out at lower temperatures, resulting in a better barrel stability. In addition, the use of microspheres even allows to further reduce the density while maintaining or improving the skin quality and demould time.

The present invention thus concerns a process for the preparation of foamed thermoplastic polyurethanes whereby the foaming of the thermoplastic polyurethane is carried out in the presence of thermally expandable microspheres.

The low density thermoplastic polyurethanes thus obtained (density not more than 800 kg/m³) have a fine cell structure, very good surface appearance, a relatively thin skin and show comparable physical properties to conventional PU which renders them suitable for a wide variety of applications.

The invention provides TPU products having outstanding low temperature dynamic flex properties and green strength at the time of demould, at specific density 800 kg/m³ and below.

The term "green strength", as is known in the art, denotes the basic integrity and strength of the TPU at demould. The polymer skin of a moulded item, for example, a shoe sole and other moulded articles, should possess sufficient tensile strength and elongation and tear

strength to survive a 90 to 180 degree bend without exhibiting surface cracks. The prior art processes often require 3 minutes minimum demould time to attain this characteristic.

In addition, the present invention therefore provides a significant improvement in minimum demould time. That is to say, a demould time of 2 to 3 minutes is achievable. The prior art processes often require times of up to 5 minutes minimum demould times.

The use of microspheres in a polyurethane foam has been described in EP-A 29021 and US-A 5418257.

Adding blowing agents during the processing of TPUs is widely known, see e.g. WO-A 94/20568, which discloses the production of foamed TPUs, in particular expandable, particulate TPUs, EP-A 516024, which describes the production of foamed sheets from TPU by mixing with a blowing agent and heat-processing in an extruder, and DE-A 4015714, which concerns glass-fibre reinforced TPUs made by injection moulding TPU mixed with a blowing agent.

Nevertheless, none of the prior art documents disclose the use of thermally expandable microspheres to improve the skin quality of foamed low density TPU (density 800 kg/m³ and even below) nor do these documents suggest the benefits associated with the present invention.

Detailed description

Thermoplastic polyurethanes are obtainable by reacting a difunctional isocyanate composition with at least one difunctional polyhydroxy compound and optionally a chain extender in such amounts that the isocyanate index is between 90 and 110, preferably between 95 and 105, and most preferably between 98 and 102.

The term 'difunctional' as used herein means that the average functionality of the isocyanate composition and the polyhydroxy compound is about 2.

The term "isocyanate index" as used herein is the ratio of isocyanate-groups over isocyanate-reactive hydrogen atoms present in a formulation, given as a percentage. In other words, the isocyanate index expresses the percentage of isocyanate actually used in a formulation with respect to the amount of isocyanate theoretically required for reacting with the amount of isocyanate-reactive hydrogen used in a formulation.

It should be observed that the isocyanate index as used herein is considered from the point of view of the actual polymer forming process involving the isocyanate ingredient and the isocyanate-reactive ingredients. Any isocyanate groups consumed in a preliminary step to produce modified polyisocyanates (including such isocyanate-derivatives referred to in the art as quasi- or semi-prepolymers) or any active hydrogens reacted with isocyanate to produce modified polyols or polyamines, are not taken into account in the calculation of the isocyanate index. Only the free isocyanate groups and the free isocyanate-reactive hydrogens present at the actual elastomer forming stage are taken into account.

The difunctional isocyanate composition may comprise any aliphatic, cycloaliphatic or aromatic isocyanates. Preferred are isocyanate compositions comprising aromatic diisocyanates and more preferably diphenylmethane diisocyanates.

The polyisocyanate composition used in the process of the present invention may consist essentially of pure 4,4'-diphenylmethane diisocyanate or mixtures of that diisocyanate with one or more other organic polyisocyanates, especially other diphenylmethane diisocyanates, for example the 2,4'-isomer optionally in conjunction with the 2,2'-isomer. The polyisocyanate component may also be an MDI variant derived from a polyisocyanate composition containing at least 95% by weight of 4,4'-diphenylmethane diisocyanate. MDI variants are well known in the art and, for use in accordance with the invention, particularly include liquid products obtained by introducing carbodiimide groups into said polyisocyanate composition and/or by reacting with one or more polyols.

Preferred polyisocyanate compositions are those containing at least 80% by weight of 4,4'-diphenylmethane diisocyanate. More preferably, the 4,4'- diphenylmethane diisocyanate content is at least 90, and most preferably at least 95% by weight.

The difunctional polyhydroxy compound used has a molecular weight of between 500 and 20000 and may be selected from polyesteramides, polythioethers, polycarbonates, polyacetals, polyolefins, polysiloxanes, polybutadienes and, especially, polyesters and polyethers, or mixtures thereof. Other dihydroxy compounds such as hydroxyl-ended styrene block copolymers like SBS, SIS, SEBS or SIBS may be used as well.

Mixtures of two or more compounds of such or other functionalities and in such ratios that the average functionality of the total composition is about 2 may also be used as the difunctional polyhydroxy compound. For polyhydroxy compounds the actual functionality may e.g. be somewhat less than the average functionality of the initiator due to some terminal unsaturation. Therefore, small amounts of trifunctional polyhydroxy compounds may be present as well in order to achieve the desired average functionality of the composition.

Polyether diols which may be used include products obtained by the polymerisation of a cyclic oxide, for example ethylene oxide, propylene oxide, butylene oxide or tetrahydrofuran in the presence, where necessary, of difunctional initiators. Suitable initiator compounds contain 2 active hydrogen atoms and include water, butanediol, ethylene glycol, propylene glycol, diethylene glycol, triethylene glycol, dipropylene glycol, 1,3-propane diol, neopentyl glycol, 1,4-butanediol, 1,5-pentanediol, 1,6-pentanediol and the like. Mixtures of initiators and/or cyclic oxides may be used.

Especially useful polyether diols include polyoxypropylene diols and poly(oxyethylene-oxypropylene) diols obtained by the simultaneous or sequential addition of ethylene or propylene oxides to difunctional initiators as fully described in the prior art. Random copolymers having oxyethylene contents of 10-80%, block copolymers having oxyethylene contents of up to 25% and random/block copolymers having oxyethylene contents of up to 50%, based on the total weight of oxyalkylene units, may be mentioned, in particular those having at least part of the oxyethylene groups at the end of the polymer chain. Other useful polyether diols include polytetramethylene diols obtained by the polymerisation of tetrahydrofuran. Also suitable are polyether diols containing low unsaturation levels (i.e. less than 0.1 milliequivalents per gram diol).

Other diols which may be used comprise dispersions or solutions of addition or condensation polymers in diols of the types described above. Such modified diols, often referred to as 'polymer' diols have been fully described in the prior art and include products obtained by the in situ polymerisation of one or more vinyl monomers, for example styrene and acrylonitrile, in polymeric diols, for example polyether diols, or by the in situ reaction between a polyisocyanate and an amino- and/or hydroxyfunctional compound, such as triethanolamine, in a polymeric diol.

Polyoxyalkylene diols containing from 5 to 50% of dispersed polymer are useful as well. Particle sizes of the dispersed polymer of less than 50 microns are preferred.

Polyester diols which may be used include hydroxyl-terminated reaction products of dihydric alcohols such as ethylene glycol, propylene glycol, diethylene glycol, 1,4-butanediol, neopentyl glycol, 2-methylpropanediol, 3-methylpentane-1,5-diol, 1,6-hexanediol or cyclohexane dimethanol or mixtures of such dihydric alcohols, and dicarboxylic acids or their ester-forming derivatives, for example succinic, glutaric and adipic acids or their dimethyl esters, sebacic acid, phthalic anhydride, tetrachlorophthalic anhydride or dimethyl terephthalate or mixtures thereof.

Polyesteramides may be obtained by the inclusion of aminoalcohols such as ethanolamine in polyesterification mixtures.

Polythioether diols which may be used include products obtained by condensing thiodiglycol either alone or with other glycols, alkylene oxides, dicarboxylic acids, formaldehyde, amino-alcohols or aminocarboxylic acids.

Polycarbonate diols which may be used include those prepared by reacting glycols such as diethylene glycol, triethylene glycol or hexanediol with formaldehyde. Suitable polyacetals may also be prepared by polymerising cyclic acetals.

Suitable polyolefin diols include hydroxy-terminated butadiene homo- and copolymers and suitable polysiloxane diols include polydimethylsiloxane diols.

Suitable difunctional chain extenders include aliphatic diols, such as ethylene glycl, 1,3-propanediol, 1,4-butanediol, 1,5-pentanediol, 1,6-hexanediol, 1,2-propanediol, 2-methylpropanediol, 1,3-butanediol, 2,3-butanediol, 1,3-pentanediol, 1,2-hexanediol, 3-methylpentane-1,5-diol, diethylene glycol, dipropylene glycol and tripropylene glycol, and aminoalcohols such as ethanolamine, N-methyldiethanolamine and the like. 1,4-butanediol is preferred.

The TPUs suitable for processing according to the invention can be produced in the so-called one-shot, semi-prepolymer or prepolymer method, by casting, extrusion or any other process known to the person skilled in the art and are generally supplied as granules or pellets.

Optionally, small amounts, i.e. up to 30, preferably 20 and most preferably 10, wt% based on the total of the blend, of other conventional thermoplastic elastomers such as PVC, EVA or TR may be blended with the TPU.

Any thermally expandable microspheres can be used in the present invention. However, microspheres containing hydrocarbons, in particular aliphatic or cycloaliphatic hydrocarbons, are preferred.

The term "hydrocarbon" as used herein is intended to include non-halogenated and partially or fully halogenated hydrocarbons.

Thermally expandable microspheres containing a (cyclo)aliphatic hydrocarbon, which are particularly preferred in the present invention, are commercially available. Such microspheres are generally dry, unexpanded or partially unexpanded microspheres consisting of small spherical particles with an average diameter of typically 10 to 15 micron. The sphere is formed of a gas proof polymeric shell (consisting e.g. of acrylonitrile or PVDC), encapsulating a minute drop of a (cyclo)aliphatic hydrocarbon, e.g. liquid isobutane. When these microspheres are subjected to heat at an elevated temperature level (e.g. 150°C to 200°C) sufficient to soften the thermoplastic shell and to volatilize the (cyclo)aliphatic hydrocarbon encapsulated therein, the resultant gas expands the shell and increases the volume of the microspheres. When expanded, the microspheres

have a diameter 3.5 to 4 times their original diameter as a consequence of which their expanded volume is about 50 to 60 times greater than their initial volume in the unexpanded state. An example of such microspheres are the EXPANCEL-DU microspheres which are marketed by AKZO Nobel Industries of Sweden ('EXPANCEL' is a trademark of AKZO Nobel Industries).

In a preferred embodiment, a blowing agent is added to the system, which may either be an exothermic or endothermic blowing agent, or a combination of both. Most preferably however, an endothermic blowing agent is added.

Any known blowing agent used in the preparation of foamed thermoplastics may be used in the present invention as blowing agents.

Examples of suitable chemical blowing agents include gaseous compounds such as nitrogen or carbon dioxide, gas (e.g. CO₂) forming compounds such as azodicarbonamides, carbonates, bicarbonates, citrates, nitrates, borohydrides, carbides such as alkaline earth and alkali metal carbonates and bicarbonates e.g. sodium bicarbonate and sodium carbonate, ammonium carbonate, diaminodiphenylsulphone, hydrazides, malonic acid, citric acid, sodium monocitrate, ureas, azodicarbonic methyl ester, diazabicylooctane and acid/carbonate mixtures.

Examples of suitable physical blowing agents include volatile liquids such as chlorofluorocarbons, partially halogenated hydrocarbons or non-halogenated hydrocarbons like propane, n-butane, isobutane, n-pentane, isopentane and/or neopentane.

Preferred endothermic blowing agents are the so-called 'HYDROCEROL' blowing agents as disclosed in a.o. EP-A 158212 and EP-A 211250, which are known as such and commercially available ('HYDROCEROL' is a trademark of Boehringer Ingelheim).

Azodicarbonamide type blowing agents are preferred as exothermic blowing agents.

Microspheres are usually used in amount of from 0.1 to 5.0 parts by weight per 100 parts by weight of thermoplastic polyurethane. From 0.5 to 4.0 parts by weight per 100 parts by

weight of thermoplastic polyurethane of microspheres are preferred. Most preferably, microspheres are added in amounts from 1.0 to 3.0 parts by weight per 100 parts by weight of thermoplastic polyurethane.

The total amount of blowing agent added is usually from 0.1 to 5.0 parts by weight per 100 parts by weight of thermoplastic polyurethane. Preferably, from 0.5 to 4.0 parts by weight per 100 parts by weight of thermoplastic polyurethane of blowing agent is added. Most preferably, blowing agent is added in amounts from 1.0 to 3.0 parts by weight per 100 parts by weight of thermoplastic polyurethane.

Additives which are conventionally used in thermoplastics processing may also be used in the process of the present invention. Such additives include catalysts, for example tertiary amines and tin compounds, surface-active agents and foam stabilisers, for example siloxane-oxyalkylene copolymers, flame retardants, antistatic agents, plasticizers, organic and inorganic fillers, pigments and internal mould release agents.

The foamed thermoplastic polyurethanes of the present invention can be made via a variety of processing techniques, such as extrusion, calendering, thermoforming, flow moulding or injection moulding. Injection moulding is however the preferred production method.

The presence of thermally expandable microspheres allows for a reduction in processing temperatures. Typically the process of the present invention is carried out at temperatures between 150 and 175°C.

Advantageously, the mould is pressurised, preferably with air, and the pressure is released during foaming. Although such process is known and commonly available from several machine producers, it has been surprisingly found that conducting the process of the present invention in a pressurised mould results in TPU articles having an excellent surface finish and physical properties, while having an even further reduced density (down to 350 kg/m³).

Thermoplastic polyurethanes of any density between about 100 and 1200 kg/m³ can be prepared by the method of this invention, but it is primarily of use for preparing foamed thermoplastic polyurethanes having densities of less than 800 kg/m³, more preferably less than 700 kg/m³ and most preferably less than 600 kg/m³.

The foamed thermoplastic polyurethanes obtainable via the process of the present invention are suitable for use in any application of thermoplastic rubbers including, for example, footwear or integral skin applications like steering wheels.

In another embodiment, the present invention concerns a reaction system comprising (a) a TPU and (b) thermally expandable microspheres.

The invention is illustrated, but not limited, by the following examples in which all parts, percentages and ratios are by weight.

Examples

Example 1 (comparative)

TPU pellets (Avalon 60AEP; 'Avalon' is a trademark of Imperial Chemical Industries Ltd.) were dry blended with an endothermic blowing agent (1% NC175 powder or 2% INC7175ACR (which is a masterbatch equivalent); both supplied by Tramaco GmbH).

The dry blend was then processed on an injection moulding machine (Desma SPE 231) to form a test moulding of dimensions 19.5 *12.0 * 1 cm.

The processing temperatures for all the examples can be seen on Table 1. The physical properties obtained for all the examples can be seen on Table 2. Abrasion was measured according to DIN53516.

Example 2 (comparative)

The TPU of example 1 was dry blended with an exothermic blowing agent (Celogen AZNP130; available from Uniroyal) and was processed in the same way as in Example 1. The minimum achievable density to avoid severe surface marking is 1000 kg/m³ with an addition level of 0.3%.

Example 3 (comparative)

The TPU of example 1 was dry blended with a mixture of an exothermic and an endothermic blowing agent (0.3% Celogen AZNP130 and 0.7 % NC175) and processed in the same way as Example 1.

Example 4

The TPU of example 1 was dry blended with 4% of thermally expandable microspheres (Expance 092 MB 120; commercially available from Akzo Nobel). This blend was processed in the same way as Example 1.

Example 5

The TPU of example 1 was dry blended with 2% of thermally expandable microspheres (Expance 092 MB120) and an endothermic blowing agent (1% NC175 or 2% INC7175ACR) and processed in the same way as Example 1.

Example 6

The TPU of example 1 was dry blended with 2% of thermally expandable microspheres (Expance 092 MB120) and 1% of an exothermic blowing agent (Celogen AZNP130). Again this was processed in the same way as Example 1.

Example 7

The TPU of example 1 was dry blended with 2% of thermally exandable microspheres (Expance 092 MB120), 0.7 % of an endothermic blowing agent (NC175) and 0.3% of an exothermic blowing agent (Celogen AZNP130). Again this was processed in the same way as Example 1.

Example 8

The TPU of example 1 was dry blended with 2% of thermally expandable microspheres (Expance 092 MB120) and an endothermic blowing agent (1% NC175 or 2% INC7175ACR). This was processed on a Main Group injection moulding machine.

Example 9

The TPU of example 1 was dry blended with 2.0% of thermally expandable microspheres (Expance 092 MB120) and 2% of an exothermic blowing agent (IM7200; commercially available from Tramaco GmbH). This dry blend was processed on a Main Group machine with an air injection system (Simplex S16).

Example 10

The TPU of example 1 was dry blended with 2.5% of thermally expandable microspheres (EXP 092 MB120) and 2% of an exothermic blowing agent (IM7200). This dry blend was processed on a Main Group machine with an air injection system (Simplex S16).

Table 1 : Processing Temperatures of Injection Moulding

	Zone 1	Zone 2	Zone 3	Nozzle	Mould Temp.(°C)
Ex.1*	180	185	190	185	50
Ex.2*	175	180	185	180	50
Ex.3*	180	185	190	185	50
Ex.4	155	160	165	160	50
Ex.5	160	165	170	165	50
Ex.6	160	165	170	165	50
Ex.7	160	165	170	165	50
Ex.8	155	160	165	160	40
Ex.9	155	160	165	160	25
Ex.10	155	160	165	160	25

* : comparative example

Table 2 : Properties

	Density (kg/m ³)	Hardness (Shore A)	Abrasion (mg)	Flex. Resistance (No. of cycles)	Demould time (seconds)	Skin Appearance
Ex.1*	810	61	53	>100.000	180	Excellent
Ex.2*	750	61	70	>100.000	210	Bad
Ex.3*	800	61	60	>100.000	180	Good
Ex.4	800	68	120	>100.000	120	Excellent
Ex.5	700	58	105	>100.000	130	Excellent
Ex.6	670	57	130	>100.000	150	Good
Ex.7	700	58	110	>100.000	130	Excellent
Ex.8	550	51	125	>100.000	180	Excellent
Ex.9	450	46	105	>100.000	180	Excellent
Ex.10	350	40	125	>100.000	180	Excellent

* : comparative example

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Claims

1. Process for the preparation of foamed thermoplastic polyurethanes characterised in that the foaming of the thermoplastic polyurethane is carried out in the presence of thermally expandable microspheres.
2. Process according to claim 1 wherein the thermally expandable microspheres contain a hydrocarbon.
3. Process according to claim 2 wherein the hydrocarbon is an aliphatic or cycloaliphatic hydrocarbon.
4. Process according to any of the preceding claims wherein an endothermic blowing agent is present.
5. Process according to any of the preceding claims wherein an exothermic blowing agent is present.
6. Process according to claim 4 or 5 wherein the endothermic blowing agent comprises bicarbonates or citrates.
7. Process according to any of claims 4-6 wherein the exothermic blowing agent comprises azodicarbonamide type compounds.
8. Process according to any of the preceding claims which is carried out by injection moulding.
9. Process according to any of the preceding claims which is carried out in a mould pressurized with air.

10. Process according to any of the preceding claims wherein the starting thermoplastic polyurethane is made by using a difunctional isocyanate composition comprising an aromatic difunctional isocyanate.
11. Process according to claim 10 wherein the aromatic difunctional isocyanate comprises diphenylmethane diisocyanate.
12. Process according to claim 11 wherein the diphenylmethane diisocyanate comprises at least 80% by weight of 4,4'-diphenylmethane diisocyanate.
13. Process according to any of the preceding claims wherein the difunctional polyhydroxy compound comprises a polyoxyalkylene diol or polyester diol.
14. Process according to claim 13 wherein the polyoxyalkylene diol comprises oxyethylene groups.
15. Process according to claim 14 wherein the polyoxyalkylene diol is a poly(oxyethylene-oxypropylene) diol.
16. Process according to any of the preceding claims wherein the amount of microspheres is between 0.5 and 4.0 parts by weight per 100 parts by weight of thermoplastic polyurethane.
17. Process according to claim 16 wherein the amount of microspheres is between 1.0 and 3.0 parts by weight per 100 parts by weight of thermoplastic polyurethane.
18. Process according to any of claims 4-17 wherein the amount of blowing agent is between 0.5 and 4.0 parts by weight per 100 parts by weight of thermoplastic polyurethane.

19. Process according to claim 18 wherein the amount of blowing agent is between 1.0 and 3.0 parts by weight per 100 parts by weight of thermoplastic polyurethane.

20. Foamed thermoplastic polyurethane having a density of not more than 700 kg/m³.

21. Foamed thermoplastic polyurethane having a density of not more than 600 kg/m³.

22. Reaction system comprising :

- a) TPU
- b) thermally expandable microspheres.

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